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Relative widths of smooth functions determined by fractional order derivatives[☆]

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Abstract

For two subsets W and V of a normed space X . The relative Kolmogorov n -width of W relative to V in X is defined by

$$K_n(W, V)_X := \inf_{L_n} \sup_{f \in W} \inf_{g \in V \cap L_n} \|f - g\|_X,$$

where the infimum is taken over all n -dimensional subspaces L_n of X . For $\alpha \in \mathbb{R}_+$, define W_p^α ($1 \leq p \leq \infty$) to be the collection of 2π -periodic and continuous functions f representable as a convolution

$$f(t) = c + (B_\alpha * g)(t),$$

where $g \in L_p(T)$, $T = [0, 2\pi]$, $\|g\|_p \leq 1$, $\int_T g(x)dx = 0$, and $B_\alpha(t) \in L_1(T)$ with the Fourier expanded form

$$B_\alpha(t) = \frac{1}{2\pi} \sum_{k \in \mathbb{Z} \setminus \{0\}} (ik)^{-\alpha} e^{ikt}.$$

In this article, we discuss the relative Kolmogorov n -width of W_p^α relative to W_p^α in the space $L_q(T)$. For the case $p = \infty$, $1 \leq q \leq \infty$, and the case $p = 1$, $1 \leq q \leq 2$, $\alpha > 1 - \frac{1}{q}$ and the case $p = 1$, $2 < q \leq \infty$, $\alpha > 3 - \frac{1}{q}$, we obtain their weak asymptotic results. In addition, we also obtain the weak asymptotic result of W_p^α relative to W_p^α in the space $L_p(T)$ for $0 < \alpha \leq 2$.

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1. Preliminaries and main results

Suppose \mathbb{R} , \mathbb{Z} , \mathbb{Z}_+ denote the set of all real numbers, all integral numbers and all positive integral numbers, respectively.

Let $X_{2\pi}$ be one of the space $C(T)$ ($T = [0, 2\pi]$) of the 2π -periodic continuous functions on \mathbb{R} or the space $L_p(T)$ ($1 \leq p \leq \infty$) of the 2π -periodic functions on \mathbb{R} of the p -power integral functions on T , with norms

$$\|f\|_{C(T)} = \sup_{x \in T} |f(x)|, \quad \|f\|_p = \left\{ \int_T |f(x)|^p dx \right\}^{\frac{1}{p}}, \quad 1 \leq p < \infty,$$

and

$$\|f\|_\infty = \operatorname{ess\,sup}_{x \in T} |f(x)|, \quad p = \infty,$$

respectively.

For $k \in \mathbb{Z}$, the k th Fourier coefficient of $f \in X_{2\pi}$ is denoted by

$$\hat{f}(k) = \frac{1}{2\pi} \int_T f(u) e^{-iku} du,$$

and the convolution of $f \in L_1(T)$, $g \in X_{2\pi}$ by

$$(f * g)(x) = \int_T f(x - u)g(u) du.$$

To give our results, we need the concepts of the derivative, the integral and the modulus of continuity of fractional order $\alpha > 0$ (see [5,7]) as follows.

The (right) difference of $f \in X_{2\pi}$ of fractional order $\alpha > 0$ with respect to the increment $h \in \mathbb{R}$ is defined by

$$(\Delta_h^\alpha f)(x) = \sum_{j=0}^{\infty} (-1)^j \binom{\alpha}{j} f(x - hj) \quad (x \in \mathbb{R}),$$

where

$$\binom{\alpha}{j} = \frac{\alpha(\alpha-1) \cdots (\alpha-j+1)}{j!}, \quad j = 0, 1, 2, \dots,$$

which coincides with $\Delta_h^r f(x)$ for $\alpha = r$, $r \in \mathbb{Z}_+$ (noting that $\binom{r}{j} = 0$ for $j \geq r+1$). If for $f \in L_p(T)$ there exists $g \in L_p(T)$ such that

$$\lim_{h \rightarrow 0+} \left\| \frac{\Delta_h^\alpha f}{h^\alpha} - g \right\|_p = 0, \quad 1 \leq p < \infty. \quad (1.1)$$

Then g will be called the α th Liouville–Grünwald derivative of f in the mean of order p , denoting $g = f^{(\alpha)}$. If f and g are functions belonging to $C(T)$ and if (1.1) holds with the $L_p(T)$ -norm replaced by the $C(T)$ -norm, then we will speak of $f^{(\alpha)}$ as the α th uniform Liouville–Grünwald derivative of f . For simplicity we will write briefly $f^{(\alpha)} \in L_p(T)$ or $f^{(\alpha)} \in C(T)$.

The modulus of continuity of $f \in X_{2\pi}$ of index $\alpha > 0$ is defined by

$$w_\alpha(f, \delta, X_{2\pi}) = w_\alpha(f, \delta) = \sup_{0 < h \leq \delta} \|\Delta_h^\alpha f\|_{X_{2\pi}} \quad (\delta > 0).$$

For $\alpha \in \mathbb{R}_+$, denote by W_p^α ($1 \leq p \leq \infty$) to be the collection of 2π -periodic and continuous functions f representable as a convolution

$$f(t) = c + (B_\alpha * g)(t),$$

where $g \in L_p(T)$, $\|g\|_p \leq 1$, $\int_T g(x) dx = 0$, and $B_\alpha(t) \in L_1(T)$ with the Fourier expanded form

$$B_\alpha(t) = \frac{1}{2\pi} \sum_{k \in \mathbb{Z} \setminus \{0\}} (ik)^{-\alpha} e^{ikt}$$

(see [31]). We also call that f is the indefinite integral of g of fractional order $\alpha > 0$.

It is not hard to verify the following relations: for $1 \leq p < \infty$, g is the α th Liouville–Grünwald derivative of f in the mean of order p . For $p = \infty$, if $g \in C(T)$, then it is the α th uniform Liouville–Grünwald derivative of f . In the view of [6, p. 172], the function class $W_p^\alpha(T)$ coincides with the usual Sobolev class $W_p^r(T)$ for $\alpha = r$, $r \in \mathbb{Z}_+$. So, here and in what follows, we will also call g is the α th fractional derivative of f , denoting $g = f^{(\alpha)}$. On the basic properties of the fractional difference and derivative may be seen in [5,7].

Konovalov in [11] introduced the notion of the relative widths. Let X be a normed space, W and V are two centrally symmetric subsets of X . The relative Kolmogorov n -width of W relative to V in X is defined by

$$K_n(W, V)_X := \inf_{L_n} \sup_{f \in W} \inf_{g \in V \cap L_n} \|f - g\|_X, \quad (1.2)$$

where the infimum is taken over all n -dimensional subspaces L_n of X . When $V = X$, the relative Kolmogorov n -width of W relative to V in X coincides with the usual Kolmogorov n -width $d_n(W, X)$ of W in X . Obviously, $K_n(W, V, X) \geq d_n(W, X)$ for any set $V \subset X$.

Kolmogorov [9] established that $d_{2n-1}(W_2^r, L_2(T)) = d_{2n}(W_2^r, L_2(T)) = n^{-r}$. The exact order $d_n(W_\infty^r, L_\infty(T)) \asymp n^{-r}$ of Kolmogorov widths was determined by Stechkin in [20]. Here and further, the notation $a_n \ll b_n$ (or $b_n \gg a_n$) denotes that there is a constant $c > 0$ such that $|a_n| \leq c|b_n|$ for all $n \in \mathbb{Z}_+$. The notation $a_n \asymp b_n$ denotes that both $a_n \ll b_n$ and $a_n \gg b_n$ hold.

For $n, r \in \mathbb{Z}_+$, Tikhomirov [26,27] determined the values of the widths

$$d_{2n-1}(W_\infty^r, L_\infty(T)) = d_{2n}(W_\infty^r, L_\infty(T)) = \kappa_r n^{-r},$$

where κ_r are the well-known Favard constants. In Korneichuk's book [16], we also see that

$$d_{2n-1}(W_1^r, L_1(T)) = d_{2n}(W_1^r, L_1(T)) = \kappa_r n^{-r}.$$

On the relative Kolmogorov n -width, for each $r \in \mathbb{Z}_+$, Konovalov in [11] first obtained the following results

$$K_n(W_\infty^r, W_\infty^r)_\infty \asymp n^{-2}, \quad r \geq 3.$$

Babenko in [1] proved that,

$$K_n(W_1^r, W_1^r)_1 \asymp n^{-2}, \quad r \geq 3.$$

The indicated difference in the behavior of the Kolmogorov widths and relative widths aroused a certain interest in the problem of the behavior of the widths $K_n(W_p^r, MW_p^r)_q$.

In [12,13], Konovalov established that the following relations hold: for each $r \in \mathbb{Z}_+$ and $1 \leq q \leq \infty$,

$$K_n(W_2^r, W_2^r)_q \asymp n^{-\min\{r-\frac{1}{2}+\frac{1}{q}, r\}},$$

$$K_n(W_\infty^r, W_\infty^r)_q \asymp n^{-\min\{r, 2\}},$$

$$K_n(W_1^r, W_1^r)_q \asymp n^{-\min\{r-1+\frac{1}{q}, 2\}}, \quad (r, q) \neq (1, \infty).$$

Tikhomirov [29] considered the relative widths of the classes W_∞^α for non-integer $\alpha > 0$, and proved that

$$K_n(W_\infty^\alpha, W_\infty^\alpha)_\infty \asymp n^{-\min\{\alpha, 2\}}, \quad \alpha > 0.$$

From the above-mentioned results, we will see that it is interesting to compare the two quantities $K_n(W_p^r, MW_p^r)_q$ and $d_n(W_p^r, L_q)$, for some constant $M > 0$, and to find the smallest number M which make the following equality $K_n(W_p^r, MW_p^r)_q = d_n(W_p^r, L_q)$. On the aspect's study, readers may refer to [2,3,22,23]. In the case of many variables, readers may refer to Babenko's paper [1]. In [30,17], we also generalized some results in [22,12] from univariate to many variables. Recently, Konovalov and Leviatan also study the problems of so called shape-preserving widths of some Sobolev type classes by taking V as the set of s -monotone functions on the interval T in (1.2) and get a large and serious of works (for example, [14,15,8]).

In the present paper, we continue studying the relative widths $K_n(W_p^\alpha, W_p^\alpha)_q$ for non-integer $\alpha > 0$, and obtain the following main results.

Theorem 1. For $\alpha \in \mathbb{R}_+$, $0 < \alpha \leq 2$ and $1 \leq p \leq \infty$. Then

$$n^{-\alpha} \ll d_n(W_p^\alpha, L_p(T)) \leq K_n(W_p^\alpha, W_p^\alpha)_p \ll n^{-\alpha}, \quad n \in \mathbb{Z}_+.$$

When $p = \infty$, Theorem 1 may be seen in Tikhomirov [29].

Theorem 2. Let $\alpha \in \mathbb{R}_+$ and $1 \leq q < \infty$. Then

$$K_n(W_\infty^\alpha, W_\infty^\alpha)_q \asymp n^{-\min\{\alpha, 2\}}, \quad n \in \mathbb{Z}_+. \quad (1.3)$$

Theorem 3. Let $\alpha \in \mathbb{R}_+$ and $n \in \mathbb{Z}_+$. Then

$$K_n(W_1^\alpha, W_1^\alpha)_q \begin{cases} \asymp n^{-2}, & \alpha \geq 3 - \frac{1}{q}, \quad 1 \leq q \leq \infty, \\ \asymp n^{-(\alpha-1+\frac{1}{q})}, & 1 - \frac{1}{q} < \alpha < 3 - \frac{1}{q}, \quad 1 \leq q \leq 2, \\ \ll n^{-(\alpha-1+\frac{1}{q})}, & 1 - \frac{1}{q} < \alpha < 3 - \frac{1}{q}, \quad 2 < q \leq \infty. \end{cases} \quad (1.4)$$

Remark. Theorem 3 shows that

$$K_n(W_1^\alpha, W_1^\alpha)_q \asymp n^{-\min\{\alpha-1+\frac{1}{q}, 2\}}, \quad n \in \mathbb{Z}_+,$$

for the case $\alpha > 1 - \frac{1}{q}$, $1 \leq q \leq 2$.

2. Preliminary lemmas

In the proofs of Theorem 2 and 3, we shall also use the following facts. Here and in what follows, let

$$E(W, M)_X = \sup_{f \in W} \inf_{g \in M} \|f - g\|_X$$

denotes the best approximation of a set W by a set $M \subset X$.

Below, we present the known duality theorem about best Approximation in the space L_p (see, e.g. [16]).

Lemma 1. *Let $F \subset L_p[a, b]$ ($1 \leq p \leq \infty$) be a convex and closed subset. Then for any $f(t) \in L_p[a, b]$, and $\frac{1}{p} + \frac{1}{p'} = 1$, we have*

$$E(f, F)_{L_p[a, b]} = \sup_{\|g\|_{L_{p'}[a, b]} \leq 1} \left\{ \int_a^b f(t)g(t) dt - \sup_{u \in F} \int_a^b u(t)g(t) dt \right\}.$$

In the paper, we also will use the following Fourier expansion formula:

$$\varphi_0(t) := \operatorname{sgn} \sin t = \frac{4}{\pi} \sum_{k=1}^{\infty} (2k-1)^{-1} \sin(2k-1)t.$$

For each $r \in \mathbb{Z}_+$, denote by $\varphi_r(t)$ the 2π -periodic perfect Euler spline of order r which its r -derivative is $\varphi_r^{(r)}(t) = \varphi_0(t)$, $t \neq k\pi$, $\forall k \in \mathbb{Z}$.

From [18, p. 416], we see the following fact:

Lemma 2. *Let $w \in L_2(T)$ be some fixed function with mean value zero, and its Fourier series can be expressed as follows:*

$$w(t) = \sum_{k=1}^{\infty} (a_k \cos kt + b_k \sin kt).$$

Denote by $k(w)$ the subset of $L_2(T)$ formed by the translates of $w(\cdot)$, that is

$$k(w) = \{T_\tau w(\cdot)\}_{\tau \in T}, \quad T_\tau w(t) = w(t + \tau).$$

Then, for $n = 1, 2, \dots$,

$$d_{2n}(k(w), L_2(T)) = \left(\pi \sum_{k=n+1}^{\infty} c_k^{*2} \right)^{\frac{1}{2}},$$

where c_k^* denote the numbers $c_k = (a_k^2 + b_k^2)^{\frac{1}{2}}$ arranged in non-increasing order.

Lemma 3. *Let $y(\cdot) \in C(\Delta)$, $y(t) \geq 0$, for any $t \in \Delta = [t_0, t_1]$,*

$$X = \{x(\cdot) \in L_1(\Delta) : 0 \leq x(t) \leq A, \text{ a.e., } \int_{\Delta} x(t) dt \geq B\}.$$

Then

$$\int_{\Delta} x(t)y(t) dt \geq A \int_{D(A,B)} y(t) dt, \quad \forall x(\cdot) \in X,$$

where $D(A, B) = \{t \mid 0 \leq y(t) \leq C(A, B)\}$, while the constant $C(A, B)$ is chosen so as to have $\int_{D(A,B)} dt = \frac{B}{A}$.

Lemma 3 may be found in [29]. To be easily read we also give its proof as follows.

Proof.

$$\begin{aligned} & \int_{\Delta} x(t)y(t) dt - A \int_{D(A,B)} y(t) dt \\ &= \int_{D(A,B)} y(t)(x(t) - A) dt + \int_{\Delta \setminus D(A,B)} x(t)y(t) dt \\ &\geq C(A, B) \int_{\Delta \setminus D(A,B)} x(t) dt - C(A, B) \int_{D(A,B)} (A - x(t)) dt \\ &= C(A, B) \int_{\Delta} x(t) dt - C(A, B) \int_{D(A,B)} A dt \\ &= C(A, B) \int_{\Delta} x(t) dt - C(A, B)B \geq 0. \quad \square \end{aligned}$$

3. Kolmogorov type inequality

To obtain the lower bound of the widths $K_n(W_{\infty}^{\alpha}, W_{\infty}^{\alpha})_q$ for $0 < \alpha < 2$ in Theorem 2, we first need to prove the following Kolmogorov type inequality for fractional derivatives.

It is well-known that Kolmogorov has given the following inequality [10].

3.1. Kolmogorov inequality

For each function f which satisfies that $f^{(r-1)}$ is locally absolutely continuous on \mathbb{R} and $f^{(r)} \in L_{\infty}(\mathbb{R})$. Then

$$\|f^{(k)}\|_{L_{\infty}(\mathbb{R})} \leq C_{k,r} \|f\|_{L_{\infty}(\mathbb{R})}^{1-\frac{k}{r}} \|f^{(r)}\|_{L_{\infty}(\mathbb{R})}^{\frac{k}{r}}, \quad (3.1)$$

where $k, r \in \mathbb{Z}_+$, $0 < k < r$, and $C_{k,r} = (K_{r-k})/(K_r)^{1-\frac{k}{r}}$, while

$$K_i = \|\varphi_i\|_{C(T)} = \begin{cases} \frac{4}{\pi} \sum_{k=0}^{\infty} (-1)^k / (2k+1)^{i+1}, & i \text{ even}, \\ \frac{4}{\pi} \sum_{k=0}^{\infty} 1 / (2k+1)^{i+1}, & i \text{ odd}. \end{cases}$$

Here $\varphi_r(t)$ is the 2π -periodic perfect Euler spline of order r defined in the last section. Moreover, the inequalities are best possible, since there exist piecewise smooth functions for which equality is attained.

Stein in [21] generalized the Kolmogorov's inequality to the space L_p and obtained the following results. Let f be a continuous function satisfying that $f, f' \dots f^{(r-1)}$ are locally absolutely continuous, and $f, f' \dots f^{(r)}$ all belong to $L_p(\mathbb{R})$ for some $p, 1 \leq p < \infty$. Then

$$\|f^{(k)}\|_{L_p(\mathbb{R})} \leq C_{k,r} \|f\|_{L_p(\mathbb{R})}^{1-\frac{k}{r}} \|f^{(r)}\|_{L_p(\mathbb{R})}^{\frac{k}{r}},$$

where $C_{k,r}$ are the same as in (3.1).

Babenko in [4] generalized inequality (3.1) to the case of fractional derivatives and obtained the following result that if a function f satisfies the conditions in (3.1), then there holds

$$\|D^\alpha f\|_{L_\infty(\mathbb{R})} \leq \frac{\|D^\alpha \tilde{\varphi}_r\|_{L_\infty(\mathbb{R})}}{\|\tilde{\varphi}_r\|_{L_\infty(\mathbb{R})}} \|f\|_{L_\infty(\mathbb{R})}^{1-\frac{\alpha}{r}} \|f^{(r)}\|_{L_\infty(\mathbb{R})}^{\frac{\alpha}{r}}, \quad (3.2)$$

where $0 < \alpha < 1$ or $1 < \alpha < 2$, $r = 2, 3, \dots$, while

$$(D^\alpha f)(x) = \int_0^\infty \frac{f(x) - f(x-t)}{t^{1+\alpha}} dt \quad \text{if } 0 < \alpha < 1, \quad (3.3)$$

$$(D^\alpha f)(x) = \int_0^\infty \frac{\Delta_h^2 f(x)}{h^{1+\alpha}} dh \quad \text{if } 1 < \alpha < 2,$$

$$\Delta_h^2 f(x) = f(x+h) - 2f(x) + f(x-h),$$

and

$$\tilde{\varphi}_r(x) = \begin{cases} \varphi_r(x + (r-1)\frac{\pi}{2}), & x \in [-\pi, \pi], \\ \max_x \varphi_r(x), & x \notin [-\pi, \pi]. \end{cases}$$

By [7,31], it is easy to verify that there exists a constant c_α such that

$$D^\alpha f = c_\alpha f^{(\alpha)}. \quad (3.4)$$

From this fact and combining relation (3.2), we obtain

$$\|f^{(\alpha)}\|_{L_\infty(\mathbb{R})} \leq \frac{\|\tilde{\varphi}_r^{(\alpha)}\|_{L_\infty(\mathbb{R})}}{\|\tilde{\varphi}_r\|_{L_\infty(\mathbb{R})}} \|f\|_{L_\infty(\mathbb{R})}^{1-\frac{\alpha}{r}} \|f^{(r)}\|_{L_\infty(\mathbb{R})}^{\frac{\alpha}{r}}, \quad (3.5)$$

for $0 < \alpha < 1$, and $1 < \alpha < 2$.

For any $\alpha \in \mathbb{R}_+$, $\alpha \notin \mathbb{Z}_+$, $\alpha < r$, set $\alpha = [\alpha] + \lambda$, $0 < \lambda < 1$, and set $g = f^{([\alpha])}$. Here $[\alpha]$ denotes the biggest integral number less than α . By using inequality (3.5), we have

$$\|g^{(\lambda)}\|_{L_\infty(\mathbb{R})} \leq \frac{\|\tilde{\varphi}_{r-[\alpha]}^{(\lambda)}\|_{L_\infty(\mathbb{R})}}{\|\tilde{\varphi}_{r-[\alpha]}\|_{L_\infty(\mathbb{R})}} \|g\|_{L_\infty(\mathbb{R})}^{1-\frac{\lambda}{r-[\alpha]}} \|g^{(r-[\alpha])}\|_{L_\infty(\mathbb{R})}^{\frac{\lambda}{r-[\alpha]}},$$

while using inequality (3.1), we have

$$\|f^{([\alpha])}\|_{L_\infty(\mathbb{R})} \leq C_{[\alpha],r} \|f\|_{L_\infty(\mathbb{R})}^{1-\frac{[\alpha]}{r}} \|f^{(r)}\|_{L_\infty(\mathbb{R})}^{\frac{[\alpha]}{r}}.$$

Combining the above two inequalities, we deduce

$$\|f^{(\alpha)}\|_{L_\infty(\mathbb{R})} \leq \frac{\|\tilde{\varphi}_{r-[\alpha]}^{(\lambda)}\|_{L_\infty(\mathbb{R})}}{\|\tilde{\varphi}_{r-[\alpha]}\|_{L_\infty(\mathbb{R})}} C_{[\alpha],r}^{(1-\frac{\lambda}{r-[\alpha]})} \|f\|_{L_\infty(\mathbb{R})}^{1-\frac{\alpha}{r}} \|f^{(r)}\|_{L_\infty(\mathbb{R})}^{\frac{\alpha}{r}},$$

that is

$$\|f^{(\alpha)}\|_{L_\infty(\mathbb{R})} \leq C \|f\|_{L_\infty(\mathbb{R})}^{1-\frac{\alpha}{r}} \|f^{(r)}\|_{L_\infty(\mathbb{R})}^{\frac{\alpha}{r}}, \quad 0 < \alpha < r, \quad (3.6)$$

with an absolute constant C .

Using the similar way as in [21], we may prove the following theorem.

Theorem 4. Suppose that the Liouville–Grünwald derivative $f^{(\alpha)}$ exists as an element in $L_p(\mathbb{R})$, $1 \leq p < \infty$ and assume further that f and $f^{(r)}$ belong to $L_p(\mathbb{R})$. Then

$$\|f^{(\alpha)}\|_{L_p(\mathbb{R})} \leq C \|f\|_{L_p(\mathbb{R})}^{1-\frac{\alpha}{r}} \|f^{(r)}\|_{L_p(\mathbb{R})}^{\frac{\alpha}{r}}, \quad 0 < \alpha < r, \quad (3.7)$$

where C only depends on α and r .

To limit the long of the paper, we omit the proof of Theorem 4.

Remark. Theorem 4 is also true in the space $L_p(T)$. It can be proved similarly in view of the fact that the inequality (3.2) is true in the space $L_p(T)$.

4. Proof of Theorem 1

4.1. Upper estimate

Let

$$(J_n f)(x) = \int_T f(x+t) k_n(t) dt, \quad (4.1)$$

where $k_n(t) = L_{n'}(t)$ ($n' = [\frac{n}{2}] + 1$), while

$$L_n(t) = \lambda_n^{-1} \left(\frac{\sin \frac{nt}{2}}{\sin \frac{t}{2}} \right)^4, \quad \int_T L_n(t) dt = 1.$$

Here λ_n is determined by the last equality. It is well-known that $J_n(f)$ is a trigonometric polynomial of degree not greater than n .

If $f \in W_p^\alpha$, $0 < \alpha \leq 2$, it is easy to see that

$$(J_n f)^{(\alpha)}(x) = \int_T f^{(\alpha)}(x+t) k_n(t) dt$$

from (4.1). Then, by the generalized Minkowski inequality we have

$$\begin{aligned}\|(J_n f)^{(\alpha)}\|_p &= \left(\int_T \left| \int_T f^{(\alpha)}(x+t) k_n(t) dt \right|^p dx \right)^{\frac{1}{p}} \\ &\leq \int_T \left(\int_T \left| f^{(\alpha)}(x+t) k_n(t) \right|^p dx \right)^{\frac{1}{p}} dt \\ &\leq \|f^{(\alpha)}\|_p \int_T |k_n(t)| dt \leq 1,\end{aligned}$$

that is $J_n f \in W_p^\alpha$.

In what follows, we estimate the deviation

$$\|f - J_n f\|_p.$$

By the property of the fractional difference (see [7]), we may see that

$$\Delta_t^{\alpha+\beta} f(x) = \Delta_t^\alpha (\Delta_t^\beta f)(x)$$

for all $f \in L_p(T)$, and the positive real numbers α, β , and that

$$\|\Delta_t^\alpha f\|_p = O(|t|^\alpha) \quad \text{as } t \rightarrow 0,$$

for each $f \in W^\alpha(T)$. Notice that

$$\int_0^\pi t^\alpha k_n(t) dt \asymp n^{-\alpha}, \quad n \in \mathbb{Z}_+,$$

for all $0 < \alpha \leq 2$. Thus, we can derive

$$\|\Delta_t^2 f\|_p = \|\Delta_t^{2-\alpha} (\Delta_t^\alpha f)\|_p \leq 2^{\{2-\alpha\}} \|\Delta_t^\alpha f\|_p,$$

and hence

$$\begin{aligned}\|f - J_n f\|_p &= \left\| \int_T [f(x) - f(x+t)] k_n(t) dt \right\|_p \\ &= \left\| \int_0^\pi \Delta_t^2 f(x) k_n(t) dt \right\|_p \leq 2^{\{2-\alpha\}} \int_0^\pi \|\Delta_t^\alpha f(x)\|_p k_n(t) dt \\ &\leq 2^{\{2-\alpha\}} c(\alpha) \|f^{(\alpha)}\|_p \int_0^\pi t^\alpha k_n(t) dt \leq c'(\alpha) \|f^{(\alpha)}\|_p n^{-\alpha} \leq c'(\alpha) n^{-\alpha},\end{aligned}$$

where $c'(\alpha)$ is a constant which depends only on α .

Thus, we complete the upper estimate of the asymptotic formula in Theorem 1.

4.2. Lower estimate

Set

$$A_n = \left\{ T : T = \frac{a_0}{2} + \sum_{k=1}^n (a_k \cos kx + b_k \sin kx), \quad \|T\|_p \leq n^{-\alpha} \right\}.$$

By the Bernstein–Nikol’skii inequalities (see [28])

$$\|T^{(\alpha)}\|_p \leq cn^\alpha \|T\|_p.$$

It follows $A_n \subset cW_p^\alpha$.

By the well-known theorem about the width of a ball, we have

$$d_n(W_p^\alpha, L_p) \geq d_n\left(\frac{1}{c}A_n, L_p\right) \geq \frac{1}{c}n^{-\alpha}.$$

Hence,

$$K_n(W_p^\alpha, W_p^\alpha)_p \geq d_n(W_p^\alpha, L_p) \geq n^{-\alpha}.$$

Thus, the lower estimate is finished.

5. Proof of Theorem 2

Since

$$K_n(W_\infty^\alpha, W_\infty^\alpha)_q \leq (2\pi)^{\frac{1}{q}} K_n(W_\infty^\alpha, W_\infty^\alpha)_\infty,$$

the upper bound in (1.3) is a consequence of the upper bound for $K_n(W_\infty^\alpha, W_\infty^\alpha)_\infty$ given in [29]. Therefore, it remains to prove the desired lower bound.

5.1. Lower estimate

The case $0 < \alpha < 2$. Since

$$K_n(W_\infty^\alpha, W_\infty^\alpha)_q \geq d_n(W_\infty^\alpha, L_q(T)) \geq (2\pi)^{\frac{1}{q}-1} d_n(W_\infty^\alpha, L_1(T)), \quad 1 \leq q \leq \infty,$$

all that we need to do is to prove the lower estimate of $d_n(W_\infty^\alpha, L_1(T))$, it follows from a discretization method.

As seen in Pinkus’s book [19], let ϕ be any non-zero function in $C^\infty(\mathbb{R})$ with support in $[0, 2\pi]$, i.e., $\text{supp}(\phi) \subset [0, 2\pi]$, and set $\phi_k(x) = \phi(xM - 2\pi(k-1))$, $k = 1, \dots, M$. Thus

$$\text{supp}(\phi_k) \subset \left[\frac{2\pi(k-1)}{M}, \frac{2\pi k}{M} \right], \quad k = 1, \dots, M.$$

For every $s \in [1, \infty]$, a simple change of variable argument shows that

$$\|\phi_k\|_s = M^{-\frac{1}{s}} \|\phi\|_s.$$

Furthermore, since the ϕ_k have essentially distinct support,

$$\left\| \sum_{k=1}^M a_k \phi_k \right\|_s = M^{-\frac{1}{s}} \|a\|_s \|\phi\|_s,$$

where $a = (a_1, \dots, a_M)$ and $\|a\|_s$ is the usual l_s^M -norm on a , $\|\phi\|_s$ is the usual L_s -norm on the function ϕ . (We are abusing notation by using $\|\cdot\|_s$ for both the $L_s[0, 2\pi]$ and the l_s^M -norm).

Similarly, for $r \in \mathbb{Z}_+$,

$$\left\| \sum_{k=1}^M a_k \phi_k^{(r)} \right\|_s = M^{r-\frac{1}{s}} \|a\|_s \|\phi^{(r)}\|_s.$$

Suppose that $f = \sum_{k=1}^M a_k \phi_k$. Then for any $\alpha > 0$, $\alpha \notin \mathbb{Z}_+$, $f^{(\alpha)} = \sum_{k=1}^M a_k \phi_k^{(\alpha)}$. By Kolmogorov type inequality for fractional derivatives, we have

$$\begin{aligned} \|f^{(\alpha)}\|_s &\leq C \|f\|_s^{1-\frac{\alpha}{1+[\alpha]}} \|f^{(1+[\alpha])}\|_s^{\frac{\alpha}{1+[\alpha]}} \\ &\leq C \left\| \sum_{k=1}^M a_k \phi_k \right\|_s^{1-\frac{\alpha}{1+[\alpha]}} \left\| \sum_{k=1}^M a_k \phi_k^{(1+[\alpha])} \right\|_s^{\frac{\alpha}{1+[\alpha]}} \\ &= C (M^{-\frac{1}{s}} \|a\|_s \|\phi\|_s)^{1-\frac{\alpha}{1+[\alpha]}} (M^{1+[\alpha]-\frac{1}{s}} \|a\|_s \|\phi^{(1+[\alpha])}\|_s)^{\frac{\alpha}{1+[\alpha]}} \\ &= CM^{\alpha-\frac{1}{s}} \|a\|_s \|\phi\|_s^{1-\frac{\alpha}{1+[\alpha]}} \|\phi^{(1+[\alpha])}\|_s^{\frac{\alpha}{1+[\alpha]}}. \end{aligned} \quad (5.0)$$

Now

$$\begin{aligned} d_n(W_\infty^\alpha, L_1(T)) &= \inf_{X_n} \sup_{\|f^{(\alpha)}\|_\infty \leq 1} \inf_{g \in X_n} \|f - g\|_1 \\ &= \inf_{X_n} \sup_{\|f^{(\alpha)}\|_\infty \leq 1} \sup_{\substack{h \perp X_n \\ \|h\|_\infty \leq 1}} (f, h). \end{aligned}$$

Here X_n is taken over all subspaces of $L_1(T)$ and $h \perp X_n$ means that $(g, h) = \int_0^{2\pi} g(x)h(x) dx = 0$ for all $g \in X_n$. Set $Y_M = \text{span}(\phi_1, \dots, \phi_M)$. Thus

$$d_n(W_\infty^\alpha, L_1(T)) \geq \inf_{X_n} \sup_{\substack{\|f^{(\alpha)}\|_\infty \leq 1 \\ f \in Y_M}} \sup_{\substack{h \perp X_n \\ \|h\|_\infty \leq 1 \\ h \in Y_M}} (f, h).$$

Let $f = \sum_{k=1}^M a_k \phi_k$ and $h = \sum_{k=1}^M b_k \phi_k$. Then we have

$$\begin{aligned} \|f^{(\alpha)}\|_\infty &\leq CM^\alpha \|a\|_\infty \|\phi\|_\infty^{1-\frac{\alpha}{1+[\alpha]}} \|\phi^{(1+[\alpha])}\|_\infty^{\frac{\alpha}{1+[\alpha]}}, \\ \|h\|_\infty &= \|\phi\|_\infty \|b\|_\infty, \end{aligned}$$

and

$$(f, h) = \sum_{k=1}^M a_k b_k \|\phi_k\|_2^2 = M^{-1} \|\phi\|_2^2 \sum_{k=1}^M a_k b_k.$$

Assume $X_n = \text{span}\{g_1, \dots, g_n\}$ and define $d_{i,j} = (g_i, \phi_j)$, $i = 1, \dots, n$; $j = 1, \dots, M$. Let d^i , $i = 1, \dots, n$, denote the i th row of the matrix $D = (d_{i,j})$. Then there is a constant C such

that there holds the following estimate:

$$\begin{aligned} d_n(W_\infty^\alpha, L_1(T)) &\geq CM^{-\alpha-1} \inf_{d^1, \dots, d^n} \sup_{\|a\|_\infty \leq 1} \sup_{\substack{(d^i, b)=0, i=1, \dots, n \\ \|b\|_\infty \leq 1}} (a, b) \\ &\geq CM^{-\alpha-1} \inf_{d^1, \dots, d^n} \sup_{\|a\|_\infty \leq 1} \inf_{\alpha_1, \dots, \alpha_n} \left\| a - \sum_{i=1}^n \alpha_i d^i \right\|_1 \\ &\geq CM^{-\alpha-1} d_n(\mathcal{F}_\infty, l_1^M), \end{aligned}$$

where $\mathcal{F}_\infty = \{(a_1, \dots, a_n) : \sup_j |a_j| \leq 1\}$.

See Pinkus [19, Chapter VI, Theorem 2.2], there holds

$$d_n(\mathcal{F}_\infty, l_1^M) = M - n.$$

Taking, $M = 2n$, then we get

$$d_n(W_\infty^\alpha, L_1(T)) \geq n^{-\alpha}.$$

The case $\alpha \geq 2$. It is well known that for each $f \in W_p^\alpha$, it can be expressed as

$$f(t) = \frac{1}{2\pi} \int_T f(\tau) d\tau + (B_\alpha * f^{(\alpha)})(t),$$

where $(B_\alpha * f^{(\alpha)})(t) := \int_T B_\alpha(t - \tau) f^{(\alpha)}(\tau) d\tau$ is the convolution of the derivative $f^{(\alpha)}$ with the kernel

$$B_\alpha(t) = \frac{1}{2\pi} \sum'_{k \in \mathbb{Z}} (ik)^{-\alpha} e^{ikt}.$$

Set $\varphi_0(t) := \operatorname{sgn} \sin t$ and $\varphi_\alpha(t) := (B_\alpha * \varphi_0)(t)$, $t \in \mathbb{R}$. Denote by

$$k(\varphi_\alpha) := \{\varphi_{\alpha, \tau} : \varphi_{\alpha, \tau}(\cdot) := \varphi_\alpha(\cdot + \tau), \tau \in [0, 2\pi]\}$$

the curve generated by translations of the functions $\varphi_\alpha(\cdot)$. When $\alpha = r \in \mathbb{Z}_+$, $\varphi_r(t)$ is the usual 2π -periodic perfect Euler spline of order r . Obviously, $k(\varphi_\alpha) \subset W_\infty^\alpha$ and hence $K_n(W_\infty^\alpha, W_\infty^\alpha)_q \geq K_n(k(\varphi_\alpha), W_\infty^\alpha)_q$.

It is sufficient to prove that

$$K_n(k(\varphi_\alpha), W_\infty^\alpha)_q \geq n^{-2}, \quad \alpha \geq 2, \quad n \in \mathbb{Z}_+. \quad (5.1)$$

We fix an arbitrary subspace $L^{2n} \subset L_q$ of dimension $\leq 2n$ such that $L^{2n} \cap W_\infty^\alpha \neq \emptyset$. Then, by Lemma 1, we find that for every $\tau \in [0, 2\pi]$, the function $\varphi_{\alpha, \tau}(\cdot) := \varphi_\alpha(\cdot + \tau)$ satisfies the inequality

$$E(\varphi_{\alpha, \tau}, L^{2n} \cap W_\infty^\alpha)_q = \sup_{\substack{g \in L_{q'} \\ \|g\|_{q'} \leq 1}} \left(\int_T \varphi_{\alpha, \tau}(t) g(t) dt - \sup_{f \in L^{2n} \cap W_\infty^\alpha} \int_T f(t) g(t) dt \right),$$

where $\frac{1}{q} + \frac{1}{q'} = 1$. Setting

$$g_{q, \tau}(t) = (2\pi)^{-\frac{1}{q'}} e^{-i\alpha\pi} \varepsilon_0 \varphi_0 \left(t + \tau + \frac{\pi}{2} + \beta\pi \right),$$

here β satisfies $0 \leq \beta < 1$, $H(\beta\pi) = 0$, while

$$H(t) = \sum_{v=0}^{\infty} \frac{\cos[(2v+1)t - \frac{\pi\alpha}{2}]}{(2v+1)^\alpha}.$$

From Sun's papers [24,25], we see that $\operatorname{sgn} H(t) = \varepsilon_0 \operatorname{sgn} \sin(t - \beta\pi)$, $\varepsilon_0 = \pm 1$.

Note that $g_{q,\tau} \in L_{q'}$ and $\|g_{q,\tau}\|_{q'} = 1$. Therefore, we have the relations

$$\begin{aligned} E(\varphi_{\alpha,\tau}, L^{2n} \cap W_\infty^\alpha)_q &\geq \int_T \varphi_{\alpha,\tau}(t) g_{q,\tau}(t) dt - \sup_{f \in L^{2n} \cap W_\infty^\alpha} \int_T f(t) g_{q,\tau}(t) dt \\ &= \inf_{f \in L^{2n} \cap W_\infty^\alpha} \left(\int_T \varphi_{\alpha,\tau}(t) g_{q,\tau}(t) dt - \int_T f(t) g_{q,\tau}(t) dt \right). \end{aligned} \quad (5.2)$$

Since $B_\alpha(-x) = e^{-i\alpha\pi} B_\alpha(x)$, we can obtain the following relations

$$\begin{aligned} &\int_T \varphi_{\alpha,\tau}(t) g_{q,\tau}(t) dt \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \int_T B_\alpha(t + \tau - u) \varphi_0(u) du e^{-i\alpha\pi} \varepsilon_0 \varphi_0\left(t + \tau + \frac{\pi}{2} + \beta\pi\right) dt \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \int_T e^{-i\alpha\pi} B_\alpha(t + \tau - u) \varepsilon_0 \varphi_0\left(t + \tau + \frac{\pi}{2} + \beta\pi\right) dt \varphi_0(u) du \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \int_T e^{-i\alpha\pi} B_\alpha(t - u) \varepsilon_0 \varphi_0\left(t + \tau + \frac{\pi}{2} + \beta\pi\right) dt \varphi_0(u + \tau) du \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \int_T B_\alpha(u - t) \varepsilon_0 \varphi_0\left(t + \tau + \frac{\pi}{2} + \beta\pi\right) dt \varphi_0(u + \tau) du \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \varepsilon_0 \varphi_\alpha\left(u + \tau + \frac{\pi}{2} + \beta\pi\right) \varphi_0(u + \tau) du. \end{aligned}$$

For each function $f \in L^{2n} \cap W_\infty^\alpha$, we use the representation

$$f(t) = \frac{1}{2\pi} \int_T f(\tau) d\tau + (B_\alpha * f^{(\alpha)})(t)$$

and also the fact that the mean value of the function φ_0 over the period is equal to zero, we have

$$\begin{aligned} \int_T f(t) g_{q,\tau}(t) dt &= (2\pi)^{-\frac{1}{q'}} \int_T \int_T B_\alpha(t - u) f^{(\alpha)}(u) du e^{-i\alpha\pi} \varepsilon_0 \varphi_0\left(t + \tau + \frac{\pi}{2} + \beta\pi\right) dt \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \int_T B_\alpha(u - t) \varepsilon_0 \varphi_0\left(t + \tau + \frac{\pi}{2} + \beta\pi\right) dt f^{(\alpha)}(u) du \\ &= (2\pi)^{-\frac{1}{q'}} \int_T \varepsilon_0 \varphi_\alpha\left(u + \tau + \frac{\pi}{2} + \beta\pi\right) f^{(\alpha)}(u) du. \end{aligned}$$

In that case

$$\begin{aligned} & \int_T \varphi_{\alpha, \tau}(t) g_{q, \tau}(t) dt - \int_T f(t) g_{q, \tau}(t) dt \\ &= (2\pi)^{-\frac{1}{q}} \int_T [\varphi_0(t + \tau) - f^{(\alpha)}(t)] \varepsilon_0 \varphi_{\alpha} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) dt. \end{aligned} \quad (5.3)$$

Since $\|f^{(\alpha)}\|_{\infty} \leq 1$ and $\|\varphi_0\|_{\infty} = 1$, for each τ and almost all $t \in T$, at least, one of the relations $\operatorname{sgn}(\varphi_0(t + \tau) - f^{(\alpha)}(t)) = \operatorname{sgn} \varphi_0(t + \tau)$ or $\operatorname{sgn}(\varphi_0(t + \tau) - f^{(\alpha)}(t)) = 0$ is valid. In addition, from [24,25], we know that $\varphi'_{\alpha}(t) = H(t)$. Hence, $\operatorname{sgn} \varphi_{\alpha}(t) = \operatorname{sgn} H(t - \frac{\pi}{2}) = \varepsilon_0 \operatorname{sgn} \sin(t - \frac{\pi}{2} - \beta\pi)$, there holds $\varepsilon_0 \operatorname{sgn} \varphi_{\alpha}(t + \frac{\pi}{2} + \beta\pi) = \operatorname{sgn} \sin t = \operatorname{sgn} \varphi_0(t)$. So, for almost all $t \in T$, the following relation is valid:

$$\begin{aligned} & (\varphi_0(t + \tau) - f^{(\alpha)}(t)) \varepsilon_0 \varphi_{\alpha} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) \\ &= |(\varphi_0(t + \tau) - f^{(\alpha)}(t))| \cdot \left| \varepsilon_0 \varphi_{\alpha} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) \right|. \end{aligned}$$

Therefore, we get

$$\begin{aligned} & \int_T (\varphi_0(t + \tau) - f^{(\alpha)}(t)) \varepsilon_0 \varphi_{\alpha} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) dt \\ &= \int_T |(\varphi_0(t + \tau) - f^{(\alpha)}(t))| \cdot \left| \varepsilon_0 \varphi_{\alpha} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) \right| dt. \end{aligned} \quad (5.4)$$

Hence from relations (5.2)–(5.4) we derive the inequality

$$\begin{aligned} & E(\varphi_{\alpha, \tau}, L^{2n} \cap W_{\infty}^{\alpha})_q \\ & \geq (2\pi)^{-\frac{1}{q}} \inf_{f \in L^{2n} \cap W_{\infty}^{\alpha}} \int_T |(\varphi_0(t + \tau) - f^{(\alpha)}(t))| \cdot \left| \varepsilon_0 \varphi_{\alpha} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) \right| dt. \end{aligned} \quad (5.5)$$

Let us now prove the existence of a number τ_0 and of an absolute constant $c_0 > 0$ such that for any $f \in L^{2n} \cap W_{\infty}^{\alpha}$ the following inequality is valid:

$$\int_T |\varphi_0(t + \tau_0) - f^{(\alpha)}(t)| dt \geq c_0 n^{-1}. \quad (5.6)$$

Suppose that Y_L is the subspace of functions f from L^{2n} such that $f^{(\alpha)}$ exists as an element in the space L_{∞} and $\|f^{(\alpha)}\|_{\infty} < \infty$, and suppose that Y_L^{α} denote the linearized subspace of the set $\{f^{(\alpha)}, f \in Y_L\}$.

Also note that for any $\tau \in T$ and $f \in L^{2n} \cap W_{\infty}^{\alpha}$, taking into account the inequality $\|\varphi_{0, \tau} - f^{(\alpha)}\|_{\infty} \leq 2$, we can obtain

$$\int_T |\varphi_{0, \tau}(t) - f^{(\alpha)}(t)| dt \geq \frac{1}{2} \int_T |\varphi_{0, \tau}(t) - f^{(\alpha)}(t)|^2 dt.$$

From this inequality, it follows that the curve $k(\varphi_0)$ generated by translations of the function φ_0 satisfies the inequalities

$$E(k(\varphi_0), L^{2n} \cap W_\infty^\alpha)_1 \geq \frac{1}{2} (E(k(\varphi_0), Y_L^\alpha)_2)^2 \geq \frac{1}{2} (d_{2n}(k(\varphi_0), L_2(T)))^2. \quad (5.7)$$

Since

$$\varphi_0(t) = \frac{4}{\pi} \sum_{k=1}^{\infty} (2k-1)^{-1} \sin(2k-1)t,$$

using Lemma 2, we get

$$d_{2n}(k(\varphi_0), L_2(T)) = \frac{4}{\pi} \left(\pi \sum_{k=n+1}^{\infty} (2k-1)^{-2} \right)^{\frac{1}{2}} \geq cn^{-\frac{1}{2}}, \quad (5.8)$$

where c is an absolute constant.

Thus, from (5.7) and (5.8), we see that the estimate

$$E(k(\varphi_0), L^{2n} \cap W_\infty^\alpha)_1 \geq c_0 n^{-1},$$

where c_0 is an absolute constant. This estimate also implies the existence of a number τ_0 for which inequality (5.6) holds.

For fixed τ_0 and c_0 , we assume $x(t) := |\varphi_0(t) - f^{(\alpha)}(t - \tau_0)|$ and $y(t) := |\varphi_\alpha(t + \frac{\pi}{2} + \beta\pi)|$. Obviously, by (5.6), $0 \leq x(t) \leq 2$, $t \in T$, and $\|x\|_1 \geq c_0 n^{-1}$. Here $y(t) \in C(T)$ and $y(t) \geq 0$, $t \in T$.

It is easy to see that

$$\int_T |\varphi_0(t + \tau_0) - f^{(\alpha)}(t)| \cdot \left| \varphi_\alpha \left(t + \tau_0 + \frac{\pi}{2} + \beta\pi \right) \right| dt = \int_T x(t)y(t) dt. \quad (5.9)$$

To obtain a lower bound for the last integral, we use Lemma 3 with $A = 2$, and $B = c_0 n^{-1}$. It is readily verified that the corresponding set $D(A, B)$ consists of three closed intervals: $[0, \delta]$, $[\pi - \delta, \pi + \delta]$, and $[2\pi - \delta, 2\pi]$ such that $c_1 n^{-1} \leq \delta \leq c_2 n^{-1}$, where c_1 and c_2 are independent of n and τ_0 .

Since the points $0, \pi$, and 2π are zeros of the function $y(t) = |\varphi_\alpha(t + \frac{\pi}{2} + \beta\pi)|$ (see [24,25]), we have the inequality

$$\int_{D(A,B)} y(t) dt \geq cn^{-2},$$

where c is independent of n and τ_0 . Thus

$$\int_T x(t)y(t) dt \geq cn^{-2}.$$

Taking into account relation (5.9), we get the following inequality

$$\int_T |\varphi_0(t + \tau_0) - f^{(\alpha)}(t)| \cdot \left| \varphi_\alpha \left(t + \tau_0 + \frac{\pi}{2} + \beta\pi \right) \right| dt \geq cn^{-2}.$$

Substituting this estimate into (5.5), we find that

$$E(\varphi_{\alpha, \tau_0}, L^{2n} \cap W_{\infty}^{\alpha})_q \geq cn^{-2},$$

where $c = c(\alpha, q)$ is independent of τ_0 and of the subspace L^{2n} .

But in that case

$$E(k(\varphi_{\alpha}), L^{2n} \cap W_{\infty}^{\alpha})_q \geq cn^{-2},$$

for any subspace L^{2n} . Since the choice of L^{2n} is arbitrary, the last inequality yields inequality (5.1), and from (5.1) we obtain the inequality

$$K_n(W_{\infty}^{\alpha}, W_{\infty}^{\alpha})_q \geq n^{-2}, \quad n \in \mathbb{Z}_+.$$

Thus, the lower bound in (1.2) is proved, and hence the proof of Theorem 2 is complete.

From the proof of Theorem 2, we get the following corollary.

Corollary 1. *If $0 < \alpha \leq 2$, and $1 \leq q \leq \infty$, then*

$$n^{-\alpha} \ll d_n(W_{\infty}^{\alpha}, L_q(T)) \leq K_n(W_{\infty}^{\alpha}, W_{\infty}^{\alpha})_q \ll n^{-\alpha}, \quad n \in \mathbb{Z}_+.$$

6. Proof of Theorem 3

6.1. Upper estimate

We can obtain the desired upper estimate by using the Jackson operators J_n defined as in (4.1). If $f \in W_1^{\alpha}$, $\alpha > 0$, then it is easy to prove that $J_n(f, \cdot) \in W_1^{\alpha}$. Therefore, it is sufficient to estimate the deviation

$$\|f - J_n(f, \cdot)\|_q \ll n^{-\min\{\alpha-1+\frac{1}{q}, 2\}},$$

for each $f \in W_1^{\alpha}$, and $\alpha > 1 - \frac{1}{q}$ ($1 \leq q \leq \infty$). Here the constant C only depends on α and q .

Using the generalized Minkowski integral inequality, we have

$$\begin{aligned} \|f - J_n(f, \cdot)\|_q &= \left\| \int_T [f(\cdot) - f(\cdot + t)] K_n(t) dt \right\|_q \\ &= \left\| \int_0^{\pi} [\Delta_t^2 f(\cdot + t)] K_n(t) dt \right\|_q \leq \int_0^{\pi} \|\Delta_t^2 f(\cdot + t)\|_q K_n(t) dt \\ &= \int_0^{\pi} \left(\int_T \left| \int_T [\Delta_t^2 B(x - \tau + t)] f^{(\alpha)}(\tau) d\tau \right|^q dx \right)^{\frac{1}{q}} K_n(t) dt \\ &\leq w_2 \left(B_x, \frac{1}{n} \right)_q \int_0^{\pi} (nt + 1)^2 K_n(t) dt \leq w_2 \left(B_x, \frac{1}{n} \right)_q. \end{aligned} \quad (6.1)$$

It remains to prove the upper estimate of $w_2(B_x, \frac{1}{n})_q$.

For $0 < \alpha < 1$, from [31], we know

$$\Gamma(\alpha) B_{\alpha}(x) = \lim_{n \rightarrow \infty} \left\{ x^{\alpha-1} + (x + 2\pi)^{\alpha-1} + \cdots + (x + 2\pi n)^{\alpha-1} - (2\pi)^{\alpha-1} \frac{n^{\alpha}}{\alpha} \right\},$$

for $0 < x < 2\pi$, $B_\alpha(x)$ is periodic, and

$$B_\alpha(x) = \psi_\alpha(x) + \gamma_\alpha(x), \quad -2\pi < x < 2\pi, \quad 0 < \alpha < 1,$$

where $\psi_\alpha(x) = \frac{1}{\Gamma(\alpha)}x_+^{\alpha-1}$ is the function equal to $\frac{1}{\Gamma(\alpha)}x^{\alpha-1}$ for $x > 0$ and to 0 for $x < 0$, $\gamma_\alpha(x)$ is a function with derivatives of all orders for $x > -2\pi$. Furthermore, we also see that the inequalities

$$|B_\alpha(x)| \leq C_\alpha |x|^{\alpha-1}, \quad |B'_\alpha(x)| \leq C_\alpha |x|^{\alpha-2}, \quad |B''_\alpha(x)| \leq C_\alpha |x|^{\alpha-3} \quad (6.2)$$

valid for $0 < |x| < \pi$, and C_α depends on α only.

Consequently, write $h = \frac{1}{n}$, then

$$w_2(B_\alpha, h)_q = \left(\int_T |\Delta_h^2 B_\alpha(x)|^q dx \right)^{\frac{1}{q}} = \int_{|x| \leq 2h} + \int_{2h \leq |x| \leq \pi} = A + B.$$

It is easy to see that

$$|A| \leq 4 \left(\int_{-3h}^{3h} |B_\alpha(x)|^q dx \right)^{\frac{1}{q}} \leq C \left(\int_0^{3h} x^{(\alpha-1)q} dx \right)^{\frac{1}{q}} \leq Ch^{\alpha-1+\frac{1}{q}}.$$

By the mean-value theorem and the third inequality of (6.2), we can deduce

$$\begin{aligned} |B| &= h^2 \left(\int_{2h \leq |x| \leq \pi} |B''_\alpha(x + \theta h)|^q dx \right)^{\frac{1}{q}} \leq h^2 \left(\int_{2h \leq |x| \leq \pi} (|x| - h)^{(\alpha-3)q} dx \right)^{\frac{1}{q}} \\ &\leq Ch^2 \left(\int_{2h}^\infty x^{(\alpha-3)q} dx \right)^{\frac{1}{q}} \leq Ch^{\alpha-1+\frac{1}{q}}. \end{aligned}$$

Hence, we have

$$w_2 \left(B_\alpha, \frac{1}{n} \right)_q \leq n^{-(\alpha-1+\frac{1}{q})} \quad \text{for} \quad 1 - \frac{1}{q} < \alpha < 1.$$

For $\alpha = 1$, since

$$B_1(x) = \frac{1}{2\pi} \sum_{k \in \mathbb{Z}}' \frac{e^{ikx}}{ik} = \frac{\pi - x}{2\pi}, \quad 0 < x < 2\pi,$$

we have

$$\begin{aligned} w_1(B_1, h)_q &= \left(\int_0^{2\pi} |B_1(x+h) - B_1(x)|^q dx \right)^{\frac{1}{q}} \\ &= \left(\int_0^{2\pi-h} \left| \frac{\pi - x - h}{2\pi} - \frac{\pi - x}{2\pi} \right|^q dx + \int_{2\pi-h}^{2\pi} \left| \frac{3\pi - x - h}{2\pi} - \frac{\pi - x}{2\pi} \right|^q dx \right)^{\frac{1}{q}} \\ &= \left(\left| \frac{h}{2\pi} \right|^q \cdot (2\pi - h) + \left| \frac{2\pi - h}{2\pi} \right|^q \cdot h \right)^{\frac{1}{q}} \leq Ch^{\frac{1}{q}}, \end{aligned}$$

that is

$$w_2\left(B_1, \frac{1}{n}\right)_q \leq 2w_1\left(B_1, \frac{1}{n}\right)_q \ll n^{-\frac{1}{q}}.$$

For $1 < \alpha < 2$, set $\lambda = \alpha - 1$. Suppose that c is one zero of $B_\alpha(x)$ on $[0, 2\pi]$, then

$$\begin{aligned} B_\alpha(x) &= B_{\lambda+1}(x) = \int_c^x B_\lambda(t) dt = \frac{t^\lambda}{\Gamma(\lambda)\lambda} \Big|_c^x + \int_c^x \gamma_\lambda(t) dt \\ &= \frac{x^\lambda}{\Gamma(\lambda+1)} - \frac{c^\lambda}{\Gamma(\lambda+1)} + \int_c^x \gamma_\lambda(t) dt = \frac{x^\lambda}{\Gamma(\lambda+1)} + \gamma_{\lambda+1}(x). \end{aligned}$$

Further, we have

$$\begin{aligned} w_2(B_\alpha, h)_q &= \left(\int_T |B_\alpha(x+h) + B_\alpha(x-h) - 2B_\alpha(x)|^q dx \right)^{\frac{1}{q}} \\ &\leq \frac{1}{\Gamma(\lambda+1)} \left(\int_T |(x+h)_+^\lambda + (x-h)_+^\lambda - 2x_+^\lambda|^q dx \right)^{\frac{1}{q}} \\ &\quad + \left(\int_T |\gamma_{\lambda+1}(x+h) + \gamma_{\lambda+1}(x-h) - 2\gamma_{\lambda+1}(x)|^q dx \right)^{\frac{1}{q}} \\ &= \frac{1}{\Gamma(\lambda+1)} \left(\int_{|x| \leq 2h} |(x+h)_+^\lambda + (x-h)_+^\lambda - 2x_+^\lambda|^q dx \right)^{\frac{1}{q}} \\ &\quad + \frac{1}{\Gamma(\lambda+1)} \left(\int_{2h \leq |x| \leq \pi} |(x+h)_+^\lambda + (x-h)_+^\lambda - 2x_+^\lambda|^q dx \right)^{\frac{1}{q}} \\ &\quad + \left(\int_T \left| \int_0^h \int_0^h \gamma_{\lambda+1}''(x+t_1+t_2) dt_1 dt_2 \right|^q dx \right)^{\frac{1}{q}} \\ &= A + B + C. \end{aligned}$$

It is easy to see that for $0 < \lambda < 1$ there hold the following estimates:

$$\begin{aligned} A &\leq \frac{4}{\Gamma(\lambda+1)} \left(\int_{-3h}^{3h} |x|^{\lambda q} dx \right)^{\frac{1}{q}} = \frac{8}{\Gamma(\lambda+1)} \left(\int_0^{3h} x^{\lambda q} dx \right)^{\frac{1}{q}} \leq Ch^{\lambda+\frac{1}{q}}, \\ B &= \frac{1}{\Gamma(\lambda+1)} h^2 \left(\int_{2h \leq |x| \leq \pi} (x+\theta h)^{(\lambda-2)q} dx \right)^{\frac{1}{q}} \\ &\leq Ch^2 \left(\int_{2h \leq |x| \leq \pi} (|x|-h)^{(\lambda-2)q} dx \right)^{\frac{1}{q}} \\ &\leq Ch^2 \left(\int_{2h}^\infty x^{(\lambda-2)q} dx \right)^{\frac{1}{q}} \leq Ch^{\lambda+\frac{1}{q}}. \end{aligned}$$

By the Minkowskii inequality, we obtain

$$\begin{aligned} C &\leq \int_0^h \int_0^h \left(\int_T |\gamma''_{\beta+1}(x+t_1+t_2)|^q dx \right)^{\frac{1}{q}} dt_1 dt_2 \\ &\leq \|\gamma''_{\beta+1}\|_{L_{q[-\pi, 3\pi]}} h^2. \end{aligned}$$

Consequently, $A + B + C = O(h^{\lambda + \frac{1}{q}})$, that is

$$w_2\left(B_\alpha, \frac{1}{n}\right)_q \ll n^{-(\alpha-1+\frac{1}{q})} \quad \text{for } 1 < \alpha < 2.$$

For $\alpha = 2$, by the property of modulus of continuity, we have

$$w_2\left(B_2, \frac{1}{n}\right)_q \leq \frac{1}{n} w\left(B_1, \frac{1}{n}\right)_q \ll n^{-(1+\frac{1}{q})}.$$

For $\alpha > 2$, using the method similar to the case $1 < \alpha < 2$, we can deduce

$$\begin{aligned} w_2\left(B_\alpha, \frac{1}{n}\right)_q &\ll n^{-(\alpha-1+\frac{1}{q})}, \quad 2 < \alpha < 3 - \frac{1}{q}, \\ w_2(B_\alpha, \frac{1}{n})_q &\ll n^{-2}, \quad \alpha \geq 3 - \frac{1}{q}. \end{aligned}$$

From above all, we get

$$w_2\left(B_\alpha, \frac{1}{n}\right)_q \ll n^{-\min\{\alpha-1+\frac{1}{q}, 2\}}, \quad \alpha \geq 1 - \frac{1}{q}.$$

Up to now, we complete the upper estimate of the asymptotic formula in Theorem 3.

6.2. Lower estimate

As above, let $\varphi_0(t) := \text{sgn} \sin t$, and $\psi_0(\cdot) := \frac{1}{4}\varphi_0(\cdot)$ the function whose total variation on the closed interval $[0, 2\pi]$ is equal to 1. Let the function $\psi_{\alpha-1}(\cdot) := (B_{\alpha-1} * \psi_0)(\cdot)$, and

$$k(\psi_{\alpha-1}) := \{\psi_{\alpha-1, \tau} | \psi_{\alpha-1, \tau}(\cdot) := \psi_{\alpha-1}(\cdot + \tau), \tau \in [0, 2\pi]\}$$

be the curve generated by translations of $\psi_{\alpha-1}(\cdot)$.

Next, we will prove that if $\alpha \geq 3 - \frac{1}{q}$, then

$$K_n(k(\psi_{\alpha-1}), W_1^\alpha)_q \gg n^{-2}, \quad n \in \mathbb{Z}_+. \quad (6.3)$$

For a fixed arbitrary subspace $L^{2n} \subset L_q$ of dimension $\leq 2n$, by Lemma 1, we find that for each fixed $\tau \in [0, 2\pi]$ the function $\psi_{\alpha-1, \tau}(\cdot) := \psi_{\alpha-1}(\cdot + \tau)$ satisfies the inequality

$$E(\psi_{\alpha-1, \tau}, L^{2n} \cap W_1^\alpha)_q d = \sup_{\substack{g \in L_{q'} \\ \|g\|_{q'} \leq 1}} \left(\int_T \psi_{\alpha-1, \tau}(t) g(t) dt - \sup_{f \in L^{2n} \cap W_1^\alpha} \int_T f(t) g(t) dt \right), \quad (6.4)$$

where $\frac{1}{q} + \frac{1}{q'} = 1$.

If $\alpha \geq 3 - \frac{1}{q}$, then we choose the function

$$g_{q,\tau}(t) := (2\pi)^{-\frac{1}{q'}} e^{-i(\alpha-1)\pi} \varepsilon_0 \varphi_0 \left(t + \tau + \frac{\pi}{2} + \beta\pi \right), \quad (6.5)$$

here β satisfies $0 \leq \beta < 1$, $H(\beta\pi) = 0$, while

$$H(t) = \sum_{v=0}^{\infty} \frac{\cos[(2v+1)t - \frac{\pi(\alpha-1)}{2}]}{(2v+1)^{(\alpha-1)}}.$$

From [24,25], it is seen that $\operatorname{sgn} H(t) = \varepsilon_0 \operatorname{sgn} \sin(t - \beta\pi)$, $\varepsilon_0 = \pm 1$.

Noticing that $g_{q,\tau} \in L_{q'}$ and $\|g_{q,\tau}\|_{q'} = 1$. Therefore, we obtain the inequality

$$\begin{aligned} E(\psi_{\alpha-1,\tau}, L^{2n} \cap W_1^\alpha)_q & \geq \int_T \psi_{\alpha-1,\tau}(t) g_{q,\tau}(t) dt - \sup_{f \in L^{2n} \cap W_1^\alpha} \int_T f(t) g_{q,\tau}(t) dt \\ & = \inf_{f \in L^{2n} \cap W_1^\alpha} \left(\int_T \psi_{\alpha-1,\tau}(t) g_{q,\tau}(t) dt - \int_T f(t) g_{q,\tau}(t) dt \right). \end{aligned} \quad (6.6)$$

Taking into account definition (6.5) for $g_{q,\tau}$, similar to the proof of (5.3), we obtain the following relation

$$\begin{aligned} & \int_T \psi_{\alpha-1,\tau}(t) g_{q,\tau}(t) dt - \int_T f(t) g_{q,\tau}(t) dt \\ & = (2\pi)^{-\frac{1}{q'}} \int_T [\psi_0(t + \tau) - f^{(\alpha-1)}(t)] \varepsilon_0 \varphi_{\alpha-1} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) dt. \end{aligned} \quad (6.7)$$

Since for any $f \in L^{2n} \cap W_1^\alpha$ the total variation of the derivative $f^{(\alpha-1)}$ on the closed interval T is at most 1 and the mean value over the period of the functions $\varphi_{\alpha-1}$ is equal to 0, it follows that in relation (6.7) we can assume that $\|f^{(\alpha-1)}\|_\infty \leq \frac{1}{4}$. In this case, for almost all $t \in T$ the following relation is valid:

$$\begin{aligned} & |\psi_0(t + \tau) - f^{(\alpha-1)}(t)] \varepsilon_0 \varphi_{\alpha-1} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) \\ & = |\psi_0(t + \tau) - f^{(\alpha-1)}(t)| \cdot \left| \varphi_{\alpha-1} \left(t + \tau + \frac{\pi}{2} + \beta\pi \right) \right|. \end{aligned} \quad (6.8)$$

Using Lemma 2, we can prove the existence of a number τ_0 and of an absolute constant $c_0 > 0$ such that for any $f \in L^{2n} \cap W_1^\alpha$ the inequality is valid:

$$\int_T |\psi_0(t + \tau_0) - f^{(\alpha-1)}(t)| dt \geq c_0 n^{-1}.$$

Next, we assume $x(t) := |\psi_0(t) - f^{(\alpha-1)}(t - \tau_0)|$ and $y(t) := |\varphi_{\alpha-1}(t + \frac{\pi}{2} + \beta\pi)|$. Then from relations (6.6)–(6.8) we obtain

$$E(\psi_{\alpha-1,\tau_0}, L^{2n} \cap W_1^\alpha)_q \geq (2\pi)^{-\frac{1}{q'}} \int_0^{2\pi} x(t) y(t) dt, \quad (6.9)$$

in which $0 \leq x(t) \leq \frac{1}{2}$ and $\|x\|_1 \geq c_0 n^{-1}$, while $y(t) \in C(T)$ and $y(t) \geq 0$.

To obtain a lower bound for the integral in (6.9), we apply Lemma 3 with $A = \frac{1}{2}$ and $B = c_0 n^{-1}$. It is readily verified that in this case the subset $D(A, B)$ of the interval $[0, 2\pi]$ will also consist of three closed interval: $[0, \delta]$, $[\pi - \delta, \pi + \delta]$, and $[2\pi - \delta, 2\pi]$ such that $c_1 n^{-1} \leq \delta \leq c_2 n^{-1}$, where c_1 and c_2 are independent of n and τ_0 . Since the points $0, \pi$, and 2π are zeros of the function $y(t) = |\varphi_{\alpha-1}(t + \frac{\pi}{2} + \beta\pi)|$, we have

$$\int_{D(A,B)} y(t) dt \geq cn^{-2},$$

where c is independent of n and τ_0 . Thus

$$\int_0^{2\pi} x(t)y(t) dt \geq cn^{-2}.$$

In this case, from (6.9), we deduce the inequality

$$E(\psi_{\alpha-1, \tau_0}, L^{2n} \cap W_1^\alpha)_q \geq n^{-2}, \quad (6.10)$$

where $c = c(\alpha, q)$ is independent of n and τ_0 . Since the choice of L^{2n} is arbitrary, from this estimate (6.10), we obtain inequality (6.3).

Obviously, the set $k(\psi_{\alpha-1})$ does not belong to the class W_1^α . However, if we replace the function $\psi_{\alpha-1}$ by its Steklov function $\psi_{\varepsilon, \alpha-1}$ and denote by $k(\psi_{\varepsilon, \alpha-1})$ the curves generated by translations of $\psi_{\varepsilon, \alpha-1}(\cdot)$, then $k(\psi_{\varepsilon, \alpha-1}) \subset W_1^\alpha$ and

$$\|k(\psi_{\alpha-1}) - k(\psi_{\varepsilon, \alpha-1})\|_q \rightarrow 0, \quad \varepsilon \rightarrow 0,$$

for $1 \leq q \leq \infty$. Taking this into account, from (6.3) we easily obtain the desired lower bound in (1.4) for $\alpha \geq 3 - \frac{1}{q}$.

If $1 - \frac{1}{q} < \alpha < 3 - \frac{1}{q}$, and $1 \leq q \leq 2$, then we will prove that

$$K_n(W_1^\alpha, W_1^\alpha)_q \geq n^{-(\alpha-1+\frac{1}{q})}. \quad (6.11)$$

In the view of $K_n(W_1^\alpha, W_1^\alpha)_q \geq d_n(W_1^\alpha, L_q(T))$. It is sufficient to prove that

$$d_n(W_1^\alpha, L_q(T)) \geq n^{-(\alpha-1+\frac{1}{q})}, \quad (6.12)$$

for all $\alpha > 0$, and $1 \leq q \leq 2$.

Next, we still use the notations as in the section Section 5. Similarly, from [19], we may see that

$$\begin{aligned} d_n(W_1^\alpha, L_q(T)) &= \inf_{X_n} \sup_{\|f^{(x)}\|_1 \leq 1} \inf_{g \in X_n} \|f - g\|_q \\ &= \inf_{X_n} \sup_{\|f^{(x)}\|_1 \leq 1} \sup_{\substack{h \perp X_n \\ \|h\|_{q'} \leq 1}} (f, h). \end{aligned}$$

Here $\frac{1}{q} + \frac{1}{q'} = 1$, X_n is taken over all subspaces of $L_q(T)$ and $h \perp X_n$ means that $(g, h) = \int_0^{2\pi} g(x)h(x) dx = 0$ for all $g \in X_n$.

Set $Y_M = \text{span}(\phi_1, \dots, \phi_M)$. Thus, we have

$$d_n(W_1^\alpha, L_q(T)) \geq \inf_{X_n} \sup_{\substack{\|f^{(x)}\|_1 \leq 1 \\ f \in Y_M}} \sup_{\substack{h \perp X_n \\ \|h\|_{q'} \leq 1 \\ h \in Y_M}} (f, h).$$

Let $f = \sum_{k=1}^M a_k \phi_k$ and $h = \sum_{k=1}^M b_k \phi_k$. Then we have

$$\|f^{(\alpha)}\|_1 \leq CM^{\alpha-1} \|a\|_1 \|\phi\|_1^{1-\frac{\alpha}{1+[\alpha]}} \|\phi^{(1+[\alpha])}\|_1^{\frac{\alpha}{1+[\alpha]}},$$

$$\|h\|_{q'} = M^{-\frac{1}{q'}} \|\phi\|_{q'} \|b\|_{q'},$$

and

$$(f, h) = \sum_{k=1}^M a_k b_k \|\phi_k\|_2^2 = M^{-1} \|\phi\|_2^2 \sum_{k=1}^M a_k b_k.$$

Assume $X_n = \text{span}\{g_1, \dots, g_n\}$ and define $d_{i,j} = (g_i, \phi_j)$, $i = 1, \dots, n$; $j = 1, \dots, M$. Let d^i , $i = 1, \dots, n$, denote the i th row of the matrix $D = (d_{i,j})$. Then there holds the following estimate:

$$\begin{aligned} d_n(W_1^\alpha, L_q(T)) &\geq M^{-(\alpha-1+\frac{1}{q})} \inf_{d^1, \dots, d^n} \sup_{\|a\|_1 \leq 1} \sup_{\substack{(d^i, b)=0, i=1, \dots, n \\ \|b\|_{q'} \leq 1}} (a, b) \\ &\geq M^{-(\alpha-1+\frac{1}{q})} \inf_{d^1, \dots, d^n} \sup_{\|a\|_1 \leq 1} \inf_{\alpha_1, \dots, \alpha_n} \left\| a - \sum_{i=1}^n \alpha_i d^i \right\|_q \\ &\geq M^{-(\alpha-1+\frac{1}{q})} d_n(\mathcal{F}_1, l_q^M), \end{aligned}$$

where $\mathcal{F}_1 = \{(a_1, \dots, a_n) : \sum_{j=1}^M |a_j| \leq 1\}$.

Taking $M = 2n$, from Pinkus [19, Chapter VI, Theorem 2.2], there holds

$$d_n(\mathcal{F}_1, l_q^M) \geq 2^{-\frac{1}{2}},$$

for $1 \leq q \leq 2$. Then we get

$$d_n(W_1^\alpha, L_q(T)) \geq n^{-(\alpha-1+\frac{1}{q})},$$

which is (6.12).

Sum up, we finally complete the proof of Theorem 3.

From the proof of Theorem 3, we have the following corollary.

Corollary 2. Let $1 \leq q \leq 2$. Then

$$d_n(W_1^\alpha, L_q(T)) \geq n^{-(\alpha-1+\frac{1}{q})}, \alpha > 1 - \frac{1}{q};$$

$$n^{-(\alpha-1+\frac{1}{q})} \geq K_n(W_1^\alpha, W_1^\alpha)_q \geq d_n(W_1^\alpha, L_q(T)) \geq n^{-(\alpha-1+\frac{1}{q})}, 3 - \frac{1}{q} \geq \alpha > 1 - \frac{1}{q}.$$

Remark. In Theorem 3, the asymptotic estimate is open for the case $\alpha > 0$, and $2 \leq q \leq \infty$.

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